

MEMBER: TRADEWELL SECURITIES LIMITED
NATIONAL STOCK EXCHANGE
BOMBAY STOCK EXCHANGE
MULTI COMMODITY EXCHANGE OF INDIA LIMITED

INTERNAL SHORTAGE POLICY

Shortage delivery of shares by clients at the member level obligation shall result in to the following:

AS per the Exchange circular we do follow the procedure for internal shortage

If any clients fails to meet the settlement delivery obligation for the securities pay-in (seller), Then He/She on T+2 will be closed out and close out price will be allocated to the seller of the client based on the file CSQR_N_SETTLEMENT NO_DDMMYYYY.CSV which is given by the Exchange through the NSE FTP. Whereas the same price will be credited to respective buyer of the client.

The same has been approved by the Board. If any changes will occur we will act accordingly.